



*Bogoliubov theory of disordered
Bose-Einstein condensates*



Christopher Gaul

Universidad Complutense de Madrid

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(slightly amended version)

Summary

We tackle the interplay of interaction, disorder, and Bose statistics—a long standing problem known as the “dirty boson problem”. Concretely, we present a *Bogoliubov theory* for disordered Bose-Einstein condensates, i.e., the bosonic field operator is split into the (mean field) condensate and (quantum) fluctuations. The mean-field part consists in solving the Gross-Pitaevskii equation to obtain the condensate wave function, which is deformed by the disorder potential. The deformed condensate, in turn, determines the Hamiltonian for the quantum fluctuations.

Diagonalizing this Bogoliubov Hamiltonian is a difficult task. As it is not desirable anyway to solve the problem for a particular realization of disorder, we resort to *disorder perturbation theory* in terms of Green functions to compute the mean free path of Bogoliubov excitations and the disorder-averaged sound velocity.

Furthermore, the Bogoliubov theory is used to count the number of particles that are excited out of the condensate, even at zero temperature. This *depletion of the condensate* is shown to remain small in presence of disorder, which validates a posteriori the Bogoliubov ansatz.

References:

C. Gaul & C.A. Müller, [Phys. Rev. A, 83, 063629](#) (2011)

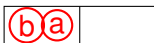
C.A. Müller & C. Gaul, [arXiv:1202.3489](#) (2012)

Bogoliubov theory of disordered Bose-Einstein condensates

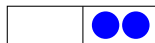
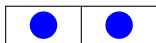
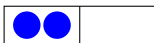
- Bose statistics + Interaction + Disorder
→ “Dirty Boson Problem”
- How is Bose-Einstein condensation affected by disorder?
 - How to define the condensate in presence of inhomogeneity?
 - Fraction of non-condensed particles
- How are the elementary excitations affected?

Bose statistics

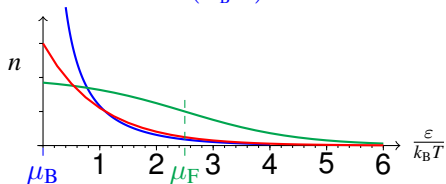
- Classical:



- Bosons: indistinguishable, symmetric wf.: $\hat{a}_1^\dagger \hat{a}_2^\dagger |0\rangle = \hat{a}_1^\dagger \hat{a}_2^\dagger |0\rangle$
- Indistinguishable bosons tend to cluster



$$n_B(\varepsilon) = \frac{1}{\exp\left(\frac{\varepsilon - \mu_B}{k_B T}\right) - 1}$$



- Fermi: $n_F(\varepsilon) = \frac{1}{\exp\left(\frac{\varepsilon - \mu_F}{k_B T}\right) + 1}$
- Boltzmann: $n(\varepsilon) \propto e^{-\frac{\varepsilon}{k_B T}}$

- n_B diverges for $\varepsilon \rightarrow \mu_B \Rightarrow$ “Bose-Einstein” condensation (BEC)
If: thermal de-Broglie wavelength \sim (particle density) $^{1/d}$

Penrose-Onsager criterion

- Starting point: bosonic many-body Hamiltonian

$$E[\hat{\Psi}, \hat{\Psi}^\dagger] = \int d^d r \hat{\Psi}^\dagger(\mathbf{r}) \left[\frac{-\hbar^2}{2m} \nabla^2 + \mathbf{V}(\mathbf{r}) + \frac{g}{2} \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}) - \mu \right] \hat{\Psi}(\mathbf{r})$$

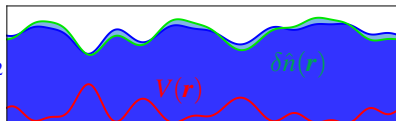
- One-body density matrix (OBDM): $\langle \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}') \rangle$
- BEC: many particles occupy condensate orbital
- Penrose & Onsager (1956): $\int d^d r' \underbrace{\langle \hat{\Psi}^\dagger(\mathbf{r}) \hat{\Psi}(\mathbf{r}') \rangle}_{\text{OBDM}} \Phi(\mathbf{r}') = N_c \Phi(\mathbf{r})$
 - Condensate $\Phi(\mathbf{r})$
 - Number of condensed particles $N_c \gg 1$

Mean field and Bogoliubov theory

Condensate and quantum fluctuations

$$\hat{\Psi}(\mathbf{r}) = \Phi(\mathbf{r}) + \delta\hat{\psi}(\mathbf{r}, t)$$

$$|\Phi(\mathbf{r})|^2$$



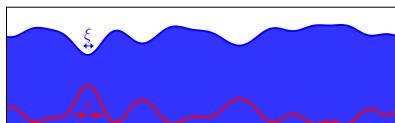
Meanfield: Minimize $E[\Phi] \rightarrow$ Gross-Pitaevskii equation

$$\left[\frac{-\hbar^2}{2m} \nabla^2 + g|\Phi(\mathbf{r})|^2 + V(\mathbf{r}) - \mu \right] \Phi(\mathbf{r}) = 0$$

Weak V : $\Phi(\mathbf{r}) = \sqrt{n_c} + \Phi^{(1)}(\mathbf{r})$

$$\Phi_{\mathbf{k}}^{(1)} = \frac{-V_{\mathbf{k}}}{\epsilon_{\mathbf{k}}^0 + 2gn_c}$$

$$n_c = |\Phi(\mathbf{r})|^2, \xi^2 = \hbar^2 / (2mgn_c)$$



Effective Hamiltonian for quantum fluctuations

$$E[\Phi + \delta\hat{\psi}] \approx E[\Phi] + \underbrace{\frac{1}{2} \int d^d r d^d r' (\delta\hat{\psi}^\dagger(\mathbf{r}'), \delta\hat{\psi}(\mathbf{r}')) \mathcal{H}(\mathbf{r}', \mathbf{r})}_{\hat{H}} \begin{pmatrix} \delta\hat{\psi}(\mathbf{r}) \\ \delta\hat{\psi}^\dagger(\mathbf{r}) \end{pmatrix}$$

$$\mathcal{H} = \delta(\mathbf{r}-\mathbf{r}') \left\{ \left[\frac{-\hbar^2}{2m} \nabla^2 + V(\mathbf{r}) - \mu \right] \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + g \begin{pmatrix} |\Phi(\mathbf{r})|^2 & \frac{1}{2}\Phi(\mathbf{r})^2 \\ \frac{1}{2}\Phi^*(\mathbf{r})^2 & |\Phi(\mathbf{r})|^2 \end{pmatrix} \right\}$$

- In terms of density and phase: $\Phi(\mathbf{r}) + \hat{\psi}(\mathbf{r}) = e^{i\delta\hat{\varphi}(\mathbf{r})} \sqrt{n_c + \delta\hat{n}(\mathbf{r})}$
- Fourier- & Bogoliubov trafo: “bogolons”

$$\hat{\gamma}_{\mathbf{k}} = \delta\hat{n}_{\mathbf{k}} / (2a_{\mathbf{k}}\sqrt{n_c}) + ia_{\mathbf{k}}\sqrt{n_c}\delta\hat{\varphi}_{\mathbf{k}} \quad a_{\mathbf{k}} = \sqrt{\varepsilon_{\mathbf{k}}^0 / \varepsilon_{\mathbf{k}}}$$

$$\hat{H} = \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} \hat{\Gamma}_{\mathbf{k}}^\dagger \hat{\Gamma}_{\mathbf{k}} + \sum_{\mathbf{k}, \mathbf{k}'} \hat{\Gamma}_{\mathbf{k}}^\dagger \mathcal{V}_{\mathbf{k}\mathbf{k}'} \hat{\Gamma}_{\mathbf{k}'}, \quad \hat{\Gamma}_{\mathbf{k}} = \begin{pmatrix} \hat{\gamma}_{\mathbf{k}} \\ \hat{\gamma}_{-\mathbf{k}}^\dagger \end{pmatrix}$$

Homogeneous Bogoliubov problem

$$\hat{H}^{(0)} = \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} \hat{\gamma}_{\mathbf{k}}^{\dagger} \hat{\gamma}_{\mathbf{k}}$$

- Bogoliubov dispersion relation

$$\varepsilon_{\mathbf{k}} = \sqrt{\varepsilon_{\mathbf{k}}^0 (2gn_{\mathbf{c}} + \varepsilon_{\mathbf{k}}^0)}, \quad \varepsilon_{\mathbf{k}}^0 = \frac{\hbar^2 k^2}{2m}$$

- Condensate depletion

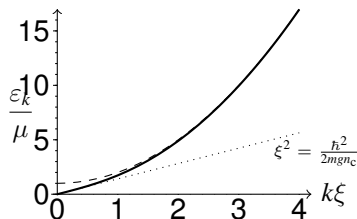
$$\delta n^{(0)} = L^{-d} \sum_{\mathbf{k}} \langle \delta \hat{\psi}_{\mathbf{k}}^{\dagger} \delta \hat{\psi}_{\mathbf{k}} \rangle = L^{-d} \sum_{\mathbf{k}} v_{\mathbf{k}}^2 \stackrel{(3D)}{=} \frac{1}{6\sqrt{2}\pi^2} \xi^{-3} \propto \xi^{-d}$$

$$\delta \hat{\psi}_{\mathbf{k}} = u_{\mathbf{k}} \hat{\gamma}_{\mathbf{k}} + v_{\mathbf{k}} \hat{\gamma}_{-\mathbf{k}}^{\dagger}$$

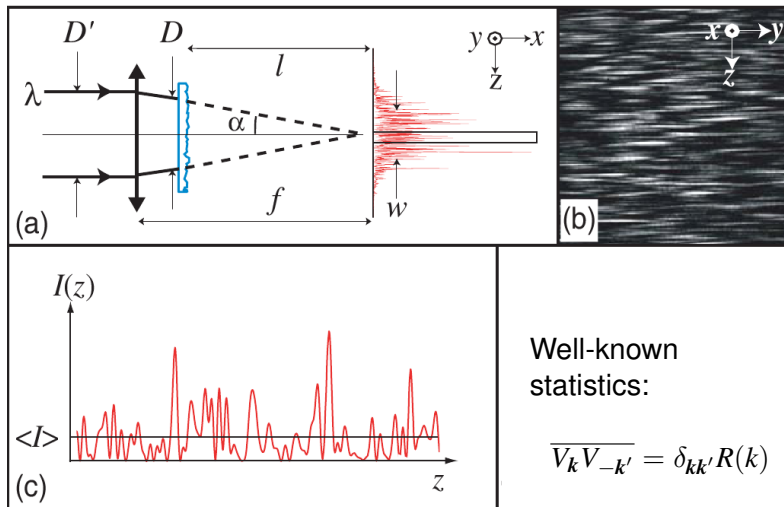
- Relative depletion $\frac{\delta n^{(0)}}{n_{\mathbf{c}}} \stackrel{(3D)}{=} \frac{8}{3\sqrt{\pi}} \sqrt{na_s^3}$

↑ dilute-gas parameter

[Lee, Huang & Yang (1957)]



Laser-speckle disorder potential



[Clément et al., New J. Phys., **8**, 165 (2006)]

Bogolons in a disordered medium

- Hamiltonian

“Bogoliubov-Nambu spinor”

$$\hat{H} = \sum_{\mathbf{k}} \varepsilon_{\mathbf{k}} \hat{\Gamma}_{\mathbf{k}}^{\dagger} \hat{\Gamma}_{\mathbf{k}} + \sum_{\mathbf{k}, \mathbf{k}'} \hat{\Gamma}_{\mathbf{k}}^{\dagger} \mathcal{V}_{\mathbf{k}\mathbf{k}'} \hat{\Gamma}_{\mathbf{k}'}, \quad \hat{\Gamma}_{\mathbf{k}} = \begin{pmatrix} \hat{\gamma}_{\mathbf{k}} \\ \hat{\gamma}_{-\mathbf{k}}^{\dagger} \end{pmatrix}$$

- Vertex $\mathcal{V} = \begin{pmatrix} W & Y \\ Y & W \end{pmatrix} = \text{diagram} = \text{diagram} + \text{diagram} + \dots$

- $W_{\mathbf{k}\mathbf{p}}^{(1)} = \frac{gn_c}{\sqrt{N_c}} \xi^2 \left[\frac{\mathbf{k} \cdot \mathbf{p}}{a_k a_p} - a_k a_p (k^2 + p^2 - \mathbf{k} \cdot \mathbf{p}) \right] \underbrace{\Phi_{\mathbf{k}-\mathbf{p}}^{(1)}}_{\propto V_{\mathbf{k}-\mathbf{p}}}$

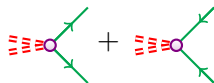
- Anomalous scattering

$$Y_{\mathbf{k}', -\mathbf{k}} \hat{\gamma}_{\mathbf{k}'}^{\dagger} \hat{\gamma}_{\mathbf{k}}^{\dagger} + Y_{-\mathbf{k}', \mathbf{k}} \hat{\gamma}_{\mathbf{k}'} \hat{\gamma}_{\mathbf{k}} = \text{diagram} + \text{diagram}$$

- Combined vertex diagram



$\propto V_{\mathbf{k}-\mathbf{p}}$



Disorder-averaged effective medium

How do Bogoliubov quasi-particles travel on average through the disordered medium?

- Matrix-valued (retarded) Green function

$$\mathcal{G}_{kk'}(t) = \frac{\Theta(t)}{i\hbar} \langle [\hat{\Gamma}_{\mathbf{k}}(t), \hat{\Gamma}_{\mathbf{k}'}^\dagger(0)] \rangle,$$

Contains dispersion relation: $[\mathcal{G}_0(\mathbf{k}, \omega)]_{11} = [\hbar\omega - \varepsilon_{\mathbf{k}} + i0^+]^{-1}$

- Expansion in terms scattering vertex $\mathcal{V} = \text{Ⓧ}$ and $\mathcal{G}_0 = \text{══}$:

$$\mathcal{G} = \mathcal{G}_0 + \mathcal{G}_0 \mathcal{V} \mathcal{G} \quad \Leftrightarrow \quad \text{⋈} = \text{══} + \text{══} \text{Ⓧ} \text{══} + \text{══} \text{Ⓧ} \text{══} \text{Ⓧ} \text{══} + \dots$$

Computing the disorder-averaged Green function

$$\begin{aligned}
 \text{Green function} &= \text{Green function} + \text{Green function} + \text{Green function} + \dots \\
 &= \text{Green function} + \text{Green function} + \text{Green function} + \text{Green function} + \dots
 \end{aligned}$$

Disorder average: $\ast = 0$, $\ast^q = R(q)$

$$\text{Green function} = \text{Green function} + \text{Green function} + \text{Green function} + \dots$$

(reducible)

Dyson equation:

$$\begin{aligned}
 \text{Green function} &= \text{Green function} + \Sigma \text{Green function} \\
 \Sigma &= \text{Green function} + \text{Green function} + \dots \text{ (irreducible) }
 \end{aligned}$$

Meaning of the self energy

- Disorder-averaged Green function

$$\text{wavy line} = \text{straight line} + \text{straight line} \text{---} \text{circle } \Sigma \text{---} \text{wavy line}$$

$$\bar{\mathcal{G}} = \mathcal{G}_0 + \mathcal{G}_0 \Sigma \bar{\mathcal{G}}$$

$$\bar{\mathcal{G}} = [\mathcal{G}_0^{-1} - \Sigma]^{-1}$$

$$= [\hbar\omega - \varepsilon_k - \Sigma + i0^+]^{-1}$$

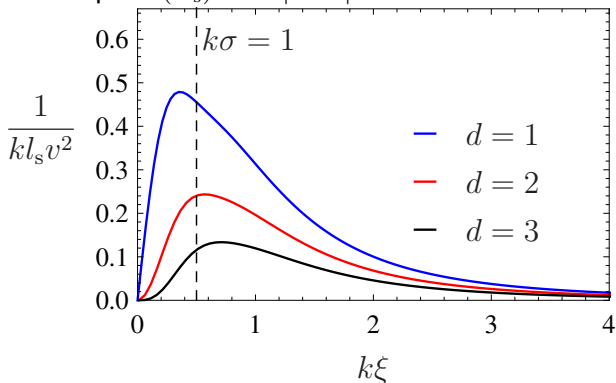
- Renormalized dispersion relation

$$\hbar\omega = \varepsilon_k + \Sigma_{11}^{(2)}(k, \omega)$$

- $\text{Im}\Sigma \rightarrow$ finite mean free path

Mean free path

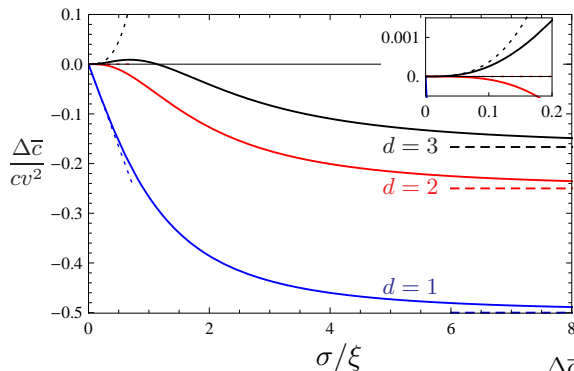
- Disorder $\overline{V_q V_{-q'}} = L^{-d} \delta_{qq'} \underbrace{(2\pi)^{\frac{d}{2}} \sigma^d (vgn_c)^2 e^{-\frac{q^2 \sigma^2}{2}}}_{R(q)}$
- Finite mean free path $(kl_s)^{-1} \propto |\text{Im}\Sigma|$



- Related to localization of Bogoliubov quasiparticles
[Lugan et al. PRA (2011)]

Disorder-renormalized speed of sound

$\text{Re}\Sigma$ renormalizes sound velocity



$$v_\delta^2 = R(0)/(gn_c\xi^d)$$

$\Delta\bar{c}/c$	$\sigma \gg \xi$	$\sigma \ll \xi$
$d = 1$	$-v^2/2$	$-\frac{3}{16\sqrt{2}}v_\delta^2$
$d = 2$	$-v^2/4$	0
$d = 3$	$-v^2/6$	$+\frac{5}{48\sqrt{2}\pi}v_\delta^2$ *

* [Giorgini et al., PRB 1994]

Momentum distribution of fluctuations

- To compute: $\delta n_k = \langle \delta \hat{\Psi}_k^\dagger \delta \hat{\Psi}_k \rangle$
- We have: Hamiltonian for $\hat{\gamma}_k = \delta \hat{n}_k / (2a_k \sqrt{n_c}) + i a_k \sqrt{n_c} \delta \hat{\varphi}_k$
 $\delta \hat{\psi}(\mathbf{r}) = \delta \hat{n}(\mathbf{r}) / [2\Phi(\mathbf{r})] + i \Phi(\mathbf{r}) \delta \hat{\varphi}(\mathbf{r})$
- Transformation $\delta \hat{\Psi}_k = \sum_p \left(u_{kp} \hat{\gamma}_p - v_{kp} \hat{\gamma}_{-p}^\dagger \right)$, with

$$u_{kp} = \frac{1}{2\sqrt{N_c}} \left[a_p^{-1} \Phi_{k-p} + a_p \check{\Phi}_{k-p} \right], \quad \check{\Phi}_k = [n_c / \Phi(\mathbf{r})]_k$$

$$v_{kp} = \frac{1}{2\sqrt{N_c}} \left[a_p^{-1} \Phi_{k-p} - a_p \check{\Phi}_{k-p} \right]$$

$$\delta n_k = \sum_{p, p'} \left\{ \delta_{pp'} |v_{kp}|^2 + (u_{kp}^* u_{kp'} + v_{kp}^* v_{kp'}) \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle - (u_{kp}^* v_{kp'} \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{-p'}^\dagger \rangle + c.c.) \right\}$$

at $T = 0$: only due to inhomogeneity $V(\mathbf{r})$

homogeneous quantum depletion

Momentum distribution of fluctuations

Pick second-order terms of

$$\delta n_k = \sum_{p,p'} \left\{ \delta_{pp'} |v_{kp}|^2 + (u_{kp}^* u_{kp'} + v_{kp}^* v_{kp'}) \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle - (u_{kp}^* v_{kp'} \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{-p'}^\dagger \rangle + c.c.) \right\}$$

- $\langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle = \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle^{(0)} + \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle^{(1)} + \langle \hat{\gamma}_p^\dagger \hat{\gamma}_{p'} \rangle^{(2)} + \dots$
- $u_{kp} = u_{kp}^{(0)} + u_{kp}^{(1)} + u_{kp}^{(2)} + \dots$

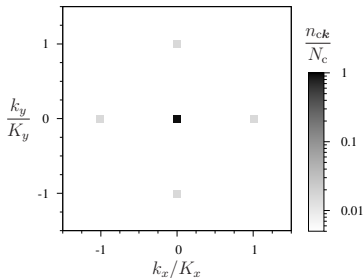
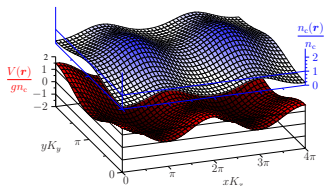
$$\Rightarrow \delta n_k^{(2)} = \sum_p M_{kp}^{(2)} |V_{k-p}|^2$$

a “monstrous” envelope function

Momentum distribution in a 2D lattice

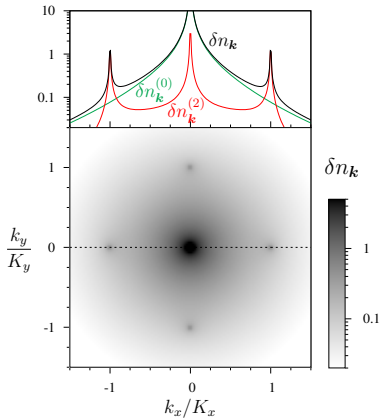
$$V(\mathbf{r}) = \sum_j V_j \cos(\mathbf{K}_j \cdot \mathbf{r})$$

Condensate deformation $|\Phi_{\mathbf{k}}|^2$



Quantum fluctuations $\delta n_{\mathbf{k}}$

- “Quantum depletion” $\delta n^{(0)}$
- “Potential depletion” $\delta n^{(2)}$



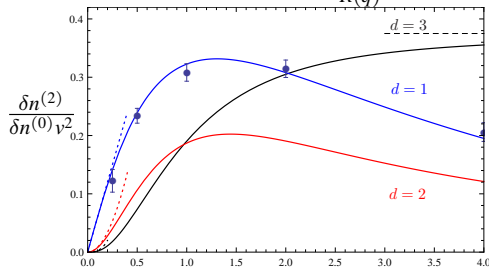
Condensate depletion due to Gaussian disorder

$$\delta n^{(2)} = L^{-d} \sum_{kp} M_{kp}^{(2)} \overline{|V_{k-p}|^2}$$

$$\overline{|V_q|^2} = L^{-d} \underbrace{(2\pi)^{\frac{d}{2}} \sigma^d (vgn_c)^2 e^{-\frac{q^2 \sigma^2}{2}}}_{R(q)}$$

$\frac{\delta n^{(2)}}{\delta n^{(0)}}$	$\sigma \gg \xi$	$\sigma \ll \xi$
$d = 1$	$-\frac{1}{8}v^2$	$0.245v_\delta^2$
$d = 2$	0	$0.135v_\delta^2$
$d = 3$	$\frac{3}{8}v^2$	$0.160v_\delta^2$

$$v_\delta^2 = R(0)/(gn_c \xi^d)$$



- $\sigma \ll \xi$: Depletion correction scales with $v_\delta^2 \propto R(0)$
- $\sigma \gg \xi$: Local density approximation

$$\delta n^{(0)} \propto \xi^{-d} \propto (gn_c)^{d/2} = (\mu - V)^{d/2} \approx \mu \left[1 - \frac{d}{2}v + \frac{v^2}{8}d(d-2) \right]$$

$$\Rightarrow \frac{\delta n_{\text{TF}}^{(2)}}{\delta n^{(0)}} = \frac{d(d-2)v^2}{8}$$

Take-home messages

- ✓ Hamiltonian for **quantum excitations** on top of **deformed condensate**
- ✓ Diagrammatic **disorder** perturbation theory
 - Mean free path
 - Renormalized speed of sound
- ✓ Calculation of the **potential**-induced condensate depletion
 - Depletion remains small \Rightarrow validates Bogoliubov ansatz

References

C. Gaul and C. A. Müller, [Phys. Rev. A, 83, 063629](#) (2011)

C. A. Müller and C. Gaul, [arXiv:1202.3489](#) (2012)

Thanks!

Cord A. Müller

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